## AN ENVIRONMENTALLY SUSTAINABLE, PATIENT-CENTRED SOLUTION FOR ASTHMA AND COPD

In this article, Sara Panigone, PhD, Sustainable Device Transition Leader; Federica Sandri, PhD, Sustainable Device Transition Project Officer; and Gabriele Nicolini, PhD, Head of Global Medical Affairs, all of Chiesi Farmaceutici, provide a considered and well-referenced analysis of carbon-minimal pMDIs for asthma and COPD, stressing the importance of balancing environmental sustainability with therapeutic efficacy to provide a sustainable <u>and</u> patient-centred solution.

Globally, chronic pulmonary conditions cause a significant burden, and are among the leading causes of morbidity and mortality.<sup>1</sup> Asthma and chronic obstructive pulmonary disease (COPD) are the most common chronic pulmonary diseases; it is estimated that there are at least 300 million patients with asthma and 250 million patients with COPD worldwide.<sup>2-4</sup> Approximately 3.2 million and 400,000 deaths are attributable to COPD and asthma each year, respectively.<sup>1</sup> COPD is currently the third leading cause of death worldwide, with the burden expected to increase further within the next 10 years.<sup>3,5</sup>

Despite this, chronic respiratory diseases are often overlooked compared with other major causes of morbidity and mortality.<sup>6</sup> No cure exists for either COPD or asthma; both conditions are primarily managed with chronic use of inhaled therapies delivered via an inhaler. Three main types of handheld inhaler are available – pressurised metered dose inhalers (pMDIs), dry powder inhalers (DPIs) and soft mist inhalers (SMIs).<sup>7</sup> Choosing the most "The most commonly used inhaler in Europe is the pMDI<sup>7</sup>, which relies on the driving force of propellants."

suitable inhaler for each patient is as important as choosing the most appropriate drug, as patient preference and ability to use a device may influence adherence to treatment.<sup>7</sup> The most commonly used inhaler in Europe is the pMDI (Figure 1)<sup>8</sup>, which relies on the driving force of propellants to atomise droplets containing drugs for deposition in the lungs.<sup>9</sup>

Annually, an estimated 800 million

pMDIs are manufactured globally, using

more than 11,500 tonnes of propellants.<sup>10</sup>

#### ENVIRONMENTAL IMPACT OF INHALERS

Sweden Denmark Finland Austria Norway pMDIs The Netherlands DPIs Belgium Liquids Switzerland Portugal Italv Poland Germany France Spain Hungary United Kingdom 20 40 60 80 100 0 % of inhalers sold

Figure 1: Proportion of inhalers sold by device type in 16 European countries from 2002–2008.<sup>8</sup> ("Liquids" refers to nebulised formulations.)



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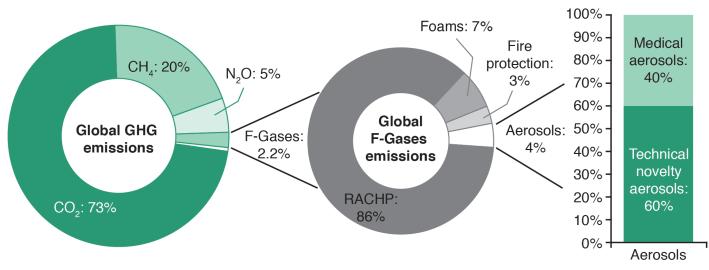


Figure 2: Global annual anthropogenic greenhouse gas (GHG) emissions by gas type and distribution of market use of fluorinated gases (F-Gases).<sup>20,23</sup> (RACHP = refrigeration, air conditioning and heat pump.)

Chlorofluorocarbons (CFCs) were also used as propellants for pMDIs until 1989, when the Montreal Protocol banned the use of CFCs as ozone-depleting substances in order to prevent further damage to the ozone layer.<sup>11</sup> This prompted a global, industrywide transition from CFC propellants; in the case of pharmaceutical products, this translated into a progressive switch towards non-ozone-depleting hydrofluorocarbon (HFC) propellants (also known as hydrofluoroalkane (HFA) propellants), specifically HFA 134a and HFA 227ea.

Since CFC production for manufacturing pMDIs peaked in 1997 at approximately 10,000 tonnes, the transition from CFCs to HFCs led to a 97% reduction to approximately 300 tonnes in 2013, significantly reducing the carbon emissions associated with propellant use in pMDIs.<sup>12</sup> A number of companies, including Chiesi, executed the move from CFC to HFC pMDIs, including to HFC 134a, which has the lowest global warming potential (GWP) of all propellants approved for pharmaceutical use.<sup>13</sup>

#### PATIENT BENEFITS ASSOCIATED WITH HFCs

In addition to the reduced environmental impact of HFC pMDIs compared with CFC pMDIs, other technical advancements with HFC pMDIs led to improved patient outcomes. CFC pMDIs were suspension

"pMDI inhalers account for a very small proportion (<0.1%) of global emissions.<sup>20,23</sup>" based and required shaking before use; this heterogeneity often caused dose variability.14 Moreover, CFC suspension formulations needed to be delivered with a relatively large device aperture diameter to avoid blockage. This led to higher velocity and lower duration of the aerosol plume, resulting in increased drug deposition in the oropharynx.14 Additionally, the relatively large particles - 3.5 µm mass median aerodynamic diameter (MMAD) - aerosolised by suspension-based CFC pMDIs did not reach the small airways (≤2 mm in diameter).<sup>15</sup> However, it is well known that dysfunction of the small airways is linked to symptoms in patients with COPD or asthma.16,17

Technical advancements have enabled some drugs to be dissolved within the HFC propellant. Alongside the advent of HFC suspension-based pMDIs, this also led to the introduction of solutionbased pMDIs, a homogeneous solution that does not require shaking before use. Such solutions are compatible with devices with smaller aperture diameters, leading to lower velocity and higher duration of the aerosol plume.<sup>14</sup>

Specific formulation technologies, such as Chiesi's Modulite technology, have enabled the related solution-based pMDIs to be tailored for extra-fine drug delivery, reducing the particle size of emitted aerosol (<2  $\mu$ m MMAD) and thereby allowing deeper penetration in the bronchial tree, effectively reaching both large and small airways.<sup>14</sup> The reduction in particle size, lower velocity and higher duration of aerosol plume in such HFC solution-based pMDIs also facilitates patient co-ordination between actuation of the device and inhalation, which is a common obstacle with the use of pMDIs.<sup>14</sup> Pharmacokinetic data also showed that with a dose from a solution-based HFC pMDI that uses Modulite technology (which is 2.5 times lower than from a CFC-based pMDI), pulmonary absorption was 86% higher and systemic exposure was 35% lower than a CFC-based pMDI, resulting in less cortisol suppression.<sup>18</sup> In addition, HFC pMDIs do not result in dose loss when stored inverted or in a cold climate and have significantly lower dose variability at the end of each canister's life compared with CFC pMDIs.<sup>15</sup>

#### CONTROVERSIES SURROUNDING HFCs

As concerns over climate change have grown in recent years, the general industrial use of HFC propellants is now the object of a phasing-down strategy agreed by EU Regulation Nº 517/2014 and the Kigali Amendment to the Montreal Protocol.19,20 The aim of this phasing down, which has already started in Europe, is to encourage use of low GWP alternatives and to reduce consumption and emissions of high GWP HFCs. Currently, the EU regulation recognises an exemption for HFCs for pharmaceutical use, including pMDIs.19 However, in some countries, governments have started actions to assess the contribution of pMDIs to total CO<sub>2</sub> emissions and to propose short-term solutions.

There is growing interest from some healthcare systems that a reduction in HFC emissions could be primarily achieved by reducing use of pMDIs and increasing use of DPIs, which are propellant free. As an extreme example, the UK has taken a radical approach, stating that the NHS should aim to reduce the impact of respiratory treatments by 50% before "Many expert respiratory healthcare providers have expressed concern that implementation of a device switch initiative may lead to detrimental effects on quality of care and patient outcomes."

2022 by increasing prescriptions of low GWP inhalers.<sup>21</sup> This approach was supported by data that showed switching to DPIs from pMDIs would result in large carbon savings.<sup>22</sup> This has proven controversial, since fluorinated-gas (F-gas) usage only accounts for 2.2% of total annual greenhouse gases emissions – and refrigerators and air conditioning units contribute to the majority of F-gas usage (86%) (Figure 2).<sup>20,23</sup> Therefore, pMDI inhalers account for a very small proportion ( $\leq 0.1\%$ ) of global emissions.<sup>20,23</sup>

Overall, DPIs have a lower carbon footprint (CF) than pMDIs. Usage is the major CF contributor for pMDIs due to the presence of propellants.<sup>24,25</sup> Conversely, for DPIs, raw materials for manufacturing are the greatest contributors.<sup>24,25</sup> However, introducing a propellant with lower GWP could significantly reduce the CF of pMDIs to within the range of DPIs.<sup>24-26</sup>

#### POTENTIAL PATIENT DRAWBACKS

Many expert respiratory healthcare providers have expressed concern that implementation of a device switch initiative may lead to detrimental effects on quality of care and patient outcomes. Adverse outcomes have been previously demonstrated following an enforced switch of stable respiratory patients to alternative inhalers; switching resulted in reduced disease control and an increased number of healthcare visits in both asthma and COPD patients.<sup>27-29</sup>

The optimal choice for the most suitable inhaler for each patient is a complex decision taken between the treating physician and the patient.2,30 Patient preference and empowerment, through informed decision making, are vital to achieving the best possible outcomes in patients with COPD or asthma. If access to pMDIs is restricted, the physician's ability to tailor treatment to patients will be limited. Moreover, many respiratory physicians caution that the implementation of a device switch initiative may create a stigma associated with the use of pMDIs, as emotive issues such as climate change may cause patients to feel pressurised into switching from their preferred therapy.

Switching from a patient's preferred therapeutic option may be detrimental to their treatment outcomes; patients should not be stigmatised for taking approved medication that is essential for treating their condition. In asthma, a major challenge is to motivate people to take the correct treatment regularly, while in people with COPD, feelings of self-blame are common. Such stigmas may lead to decreased adherence to therapy, resulting in adverse effects on patient outcomes and their quality of life.31,32 Evoking feelings of guilt in those who need or choose pMDIs must be avoided; discussions on the issue of climate change need to be framed within the context of the wider political setting if the wider climate change issue is to be addressed meaningfully.

#### PATIENT-TAILORED INHALER CHOICE

Long-term disease control and patient management in asthma and COPD patients with low adherence remains a challenge. Poor inhaler technique remains a major barrier to achieving disease control in patients with asthma or COPD and is prevalent in 31% of patients.<sup>33</sup> The importance of achieving correct delivery of drugs by efficient inhaler use cannot be underestimated; proper inhaler technique leads to improved symptom relief and quality of life – and reduces morbidity,

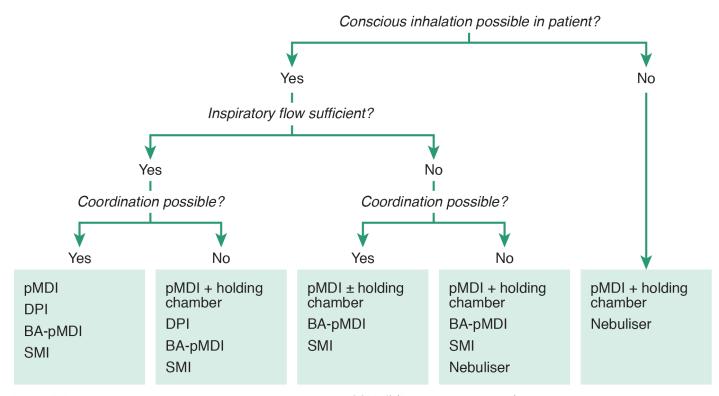


Figure 3: Inhaler type decision tree in patients with asthma or COPD.<sup>42</sup> (BA = breath actuated)

16

- Raw materials
- Production
- Transport
- Use (propellant)

0

5

Waste disposal		Raw materials	Production	Transport	Use (propellant)	Waste disposal
Dry powder inhaler	0.65	0.46	0.13	0.02	-	0.04
HFC 152a inhaler	1.80	0.13	0.03	0.01	1.61	0.01
HFC 134a inhaler	23.65	0.17	0.06	0.01	23.4	0.01

Total carbon footprint (kilograms of CO<sub>2</sub> equivalent released per 100 doses)

15

10

Figure 4: Comparison of the carbon footprint of three different types of inhaler.<sup>24</sup>

25

20

"Since pMDIs need to remain an option for patients, a major unmet need exists for low GWP alternative propellants in pMDIs."

mortality and acute hospital care costs.<sup>34-38</sup> However, only 22% of patients have complete confidence in their inhaler technique.<sup>39</sup>

Many developments have been made to improve patient confidence and their inhaler technique, and hence improve patient outcomes. For example, most studies that have implemented inhaler technique educational programmes in patients with asthma or COPD have resulted in significantly improved inhaler technique following intervention.<sup>40</sup> Stable patients with asthma whose treatment is initiated on pMDIs have achieved better disease control than those given the same drug prescribed with a DPI.<sup>41</sup>

Overall, evidence suggests that tailoring inhaler choice to a patient's ability to use specific devices, coupled with ongoing education to support optimal inhaler usage, may improve patient confidence and enhance both adherence and disease control.42,43 Improved inhaler technique, adherence and disease control, in addition to proper disposal of empty inhalers, will contribute to reducing the total CF of pMDIs.22 Therefore, asking stable patients using pMDIs to switch to DPIs for nonclinical reasons is concerning, and will likely negatively impact disease control. Inhaler choice should be based on patient characteristics (Figure 3)42 and patient preference. Since pMDIs need to remain an option for patients, a major unmet need exists for low GWP alternative propellants in pMDIs to achieve a reduced CF in respiratory treatments, without risking adverse effects on patient outcomes.

#### DEVELOPMENT OF A CARBON MINIMAL pMDI

Development of pMDIs containing a low GWP propellant have the potential to reduce the carbon footprint of pMDIs by 90%,<sup>25</sup> but, critically, will also ensure a continued choice for physicians and patients, and avoid any negative impact on patient health. Recently, companies producing pMDI maintenance therapies have announced plans to introduce carbon minimal pMDIs by 2025.<sup>43,44</sup> Chiesi's planned carbon minimal pMDI uses Koura's HFA 152a (1, 1-difluoroethane) as a candidate propellant.<sup>43</sup>

HFA 152a is classified as a low GWP propellant, as its GWP value is significantly lower (138 GWP for 100-year time

horizon) than that of both HFA 134a and HFA 227ea (1,300 and 3,350 GWP for 100-year time horizon, respectively).<sup>23</sup> Due to the lower liquid density of HFA 152a compared with HFA 134a and HFA 227ea, early indications are that a lower weight of propellant is needed per dose, resulting in additional carbon savings.<sup>10</sup>

Initial research into HFA 152a use in pMDIs has been promising, showing similar performance levels to HFA 134a and HFA 227ea.<sup>10</sup> Given HFA 152a is used more commonly in consumer aerosols, the toxicology of HFA 152a is well characterised and is similar to HFA 134a.<sup>10</sup> Studies to address the gaps in industrial toxicity knowledge have been successful; inhalation safety studies are underway and long-term toxicology testing on HFA 152a is expected to be completed in 2021.<sup>10</sup> Moreover, first-in-human clinical trials have now begun.<sup>45</sup>

Development of HFA 152a inhalers will significantly reduce the CF of pMDIs, to a level within the range of DPIs (Figure 4).<sup>24</sup> Short-term approaches to reduce the environmental impact by limiting use of pMDIs are likely to undermine innovation of such carbon-minimal pMDIs. Introducing a carbon-minimal pMDIs, providing large carbon savings but also maintaining patient choice and ensuring continuity of care without potential adverse health effects.

#### CONCLUSIONS

While the impact of harmful gases on our environment needs to be reduced, it is vital that any action taken does not inadvertently jeopardise patient safety and outcomes. Therefore, pMDIs must remain a prescription option for all asthma and COPD patients, particularly for those where pMDIs are the preferred choice. Chiesi's plan – which includes the development of and the transition to pMDIs containing low GWP propellant (HFA 152a) – has the potential to offer environmental benefits whilst maintaining patient choice and wellbeing.

#### ABOUT THE COMPANY

Chiesi Farmaceutici is an international, privately-owned pharmaceutical company based in Parma, Italy, dedicated to the research, development and sales of innovative medicines in the field of respiratory, neonatology and rare diseases. In December 2018, Chiesi Group adopted the legal status of Public Benefit Corporation and, in June 2019, became the first global pharmaceutical group to obtain B Corp Certification. B Corp represents a new kind of business that balances purpose and profit, legally required to consider the impact of

# decisions on workers, customers, suppliers, community and the environment. As part of this commitment, Chiesi announced plans to become carbon neutral by 2035.

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